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ETAG 020

**GUIDELINE FOR EUROPEAN TECHNICAL APPROVAL
of
PLASTIC ANCHORS
FOR MULTIPLE USE IN CONCRETE AND MASONRY FOR
NON-STRUCTURAL APPLICATIONS**

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Annex C : DESIGN METHODS FOR ANCHORAGES**

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INTRODUCTION

The design method for anchorages is intended to be used for the design of anchorages under due consideration of the safety and design concept within the scope of the European Technical Approvals (ETA) of plastic anchors.

The design method given in Annex C is based on the assumption that the required tests for assessing the admissible service conditions given in the relevant Parts of this Guideline have been carried out. Therefore Annex C is a pre-condition for assessing and judging of plastic anchors. The use of other design methods will require reconsideration of the necessary tests.

The plastic anchors should be used for multiple fixings. By multiple anchor use it is assumed that in the case of excessive slip or failure of one anchor the load can be transmitted to neighbouring anchors without significantly violating the requirements on the fixture in the serviceability and ultimate limit state.

Therefore the design of the fixture may specify the number n_1 of fixing points to fasten the fixture and the number n_2 of anchors per fixing point. Furthermore by specifying the design value of actions N_{Sd} on a fixing point to a value $\leq n_3$ (kN) up to which the strength and stiffness of the fixture are fulfilled and the load transfer in the case of excessive slip or failure of one anchor need not to be taken into account in the design of the fixture.

The following default values for n_1 , n_2 and n_3 should be taken:

$n_1 \geq 4$; $n_2 \geq 1$ and $n_3 \leq 4.5$ kN or

$n_1 \geq 3$; $n_2 \geq 1$ and $n_3 \leq 3.0$ kN.

1. SCOPE

1.1. Type of anchors, anchor groups and number of anchors

The design method applies to the design of plastic anchors in normal weight concrete, different masonry and autoclaved aerated concrete using anchors which fulfil the requirements of this Guideline. The characteristic values are given in the relevant ETA.

The design method is valid for single anchors and anchor groups with two or four anchors. In an anchor group only anchors of the same type, size and length shall be used.

1.2. Member

1.2.1. Concrete member

The concrete member shall be of normal weight concrete of at least strength class C12/15 ~~and at most strength class C50/60~~ according to EN 206 [5] and shall be subjected to only predominantly static loads. The design method is valid for cracked and non-cracked concrete.

If the edge distance of an anchor is smaller than the edge distance $c_{cr,N}$, then a longitudinal reinforcement of at least $\varnothing 6$ shall be provided at the edge of the member in the area of the anchorage depth.

1.2.2. Solid and hollow or perforated masonry

The masonry member should be of solid or hollow or perforated masonry units made of clay or calcium silicate or normal weight concrete.

The detailed information of the corresponding base material is given in the ETA (e.g. *Base material, size of units, normalised compressive strength; volume of all holes (% of the gross volume); volume of any hole (% of the gross volume); minimum thickness in and around holes (web and shell); combined thickness of webs and shells (% of the overall width)*).

1.2.3. Autoclaved aerated concrete

The autoclaved aerated concrete member should be ~~between strength classes P 2 and P 7, inclusively,~~ according to EN 771-4 [9] "Autoclaved aerated concrete masonry units" or prEN 12602 [10] "Reinforced components of autoclaved aerated concrete".

1.3. Type and direction of load

~~This design method applies to plastic anchors subject to static or quasi-static actions in tension, shear or combined tension and shear or bending; it is not applicable to plastic anchors loaded in compression or subject to fatigue, impact, or seismic actions.~~

2. TERMINOLOGY AND SYMBOLS

2.1. Plastic anchors

The notations and symbols frequently used are given below.

b	=	width of the member of the base material
c	=	edge distance
c_1	=	edge distance in direction 1; in case of anchorages close to an edge loaded in shear c_1 is the edge distance in direction of the shear load
c_2	=	edge distance in direction 2; direction 2 is perpendicular to direction 1
$c_{cr,N}$	=	edge distance for ensuring the transmission of the characteristic tensile resistance of a single plastic anchor
c_{min}	=	minimum allowable edge distance
d	=	nominal diameter of the anchor anchor bolt/thread diameter
d_e	=	drill hole diameter
d_{cut}	=	cutting diameter of drill bit
$d_{cut,max}$	=	cutting diameter at the upper tolerance limit (maximum diameter bit)
$d_{cut,min}$	=	cutting diameter at the lower tolerance limit (minimum diameter bit)
$d_{cut,m}$	=	medium cutting diameter of drill bit
d_i	=	diameter of clearance hole in the fixture
d_{nom}	=	outside diameter of anchor
h	=	thickness of member (wall)
h_{min}	=	minimum thickness of member
h_e	=	depth of cylindrical drill hole at shoulder
h_L	=	depth of drilled hole to deepest point
h_{ef}	=	effective anchorage depth
h_{nom}	=	overall anchor embedment depth in the base material
s	=	spacing of the plastic anchor
s_{min}	=	minimum allowable spacing
T	=	torque moment
T_{inst}	=	setting torque when the screw of the plastic anchor is fully attached to the anchor collar
T_u	=	maximum torque moment that can be applied to the plastic anchor
t_{fix}	=	thickness of fixture

2.2. Base material

f_c	=	concrete compression strength measured on cylinders
$f_{c,cube}$	=	concrete compression strength measured on cubes
$f_{c,test}$	=	compression strength of concrete at the time of testing
f_{cm}	=	average concrete compression strength
f_{ck}	=	nominal characteristic concrete compression strength (based on cylinder)
$f_{ck,cube}$	=	nominal characteristic concrete compression strength (based on cubes)
ρ	=	bulk density of unit
f_b	=	normalised mean compression strength of masonry unit
$f_{b,test}$	=	mean compression strength of the test masonry unit at the time of testing
$f_{y,test}$	=	steel tensile yield strength in the test
f_{yk}	=	nominal characteristic steel yield strength
$f_{u,test}$	=	steel ultimate tensile strength in the test
f_{uk}	=	nominal characteristic steel ultimate strength

2.3. Actions and resistances

F	=	force in general (resulting force)
N	=	normal force (positive: tension force, negative: compression force)
V	=	shear force
M	=	moment
$F_{Sk} (N_{Sk} ; V_{Sk} ; M_{Sk} ; M_{T,Sk})$	=	characteristic value of actions acting on a single anchor or the fixture of an anchor group respectively (normal load, shear load, bending moment, torsion moment)
$F_{Sd} (N_{Sd} ; V_{Sd} ; M_{Sd} , M_{T,Sd})$	=	design value of actions acting on a single anchor or the fixture of an anchor group respectively (normal load, shear load, bending moment, torsion moment)
$N_{Sd}^h (V_{Sd}^h)$	=	design value of tensile load (shear load) acting on the most stressed anchor of an anchor group
$N_{Sd}^g (V_{Sd}^g)$	=	design value of the sum (resultant) of the tensile (shear) loads acting on the tensioned (sheared) anchors of a group
$F_{Rk} (N_{Rk} ; V_{Rk})$	=	characteristic value of resistance of a single anchor or an anchor group respectively (normal force, shear force)
$F_{Rd} (N_{Rd} ; V_{Rd})$	=	design value of resistance of a single anchor or an anchor group respectively (normal force, shear force)

3. DESIGN AND SAFETY CONCEPT

3.1. General

The design of anchorages shall be **in accordance with the general rules given in EN 1990 [20]**. It shall be shown that the value of the design actions S_d does not exceed the value of the design resistance R_d .

$$S_d \leq R_d \quad (3.1)$$

S_d	=	value of design action
R_d	=	value of design resistance

Actions to be used in design may be obtained from national regulations or in the absence of them from the relevant parts of EN 1991 [21].

The partial safety factors for actions may be taken from national regulations or in the absence of them according to EN 1990 [20].

The design **resistance** is calculated as follows:

$$R_d = R_k / \gamma_M \quad (3.2)$$

R_k	=	characteristic resistance of a single anchor or an anchor group
γ_M	=	partial safety factor for material

3.2. Ultimate limit state

3.2.1 Partial safety factors for actions

The partial safety factors for actions depend on the type of loading and shall be taken from national regulations or, in the absence of them, from [4] or [8]. In Equation (3.2) the partial safety factor according to [4] is $\gamma_G = 1.35$ for permanent actions and $\gamma_Q = 1.5$ for variable actions.

3.2.1. Design resistance

The design resistance is calculated according to Equation (3.2).

3.2.2. Partial safety factors for resistances

In the absence of national regulations the following partial safety factors may be used:

3.2.2.1. Failure (rupture) of the expansion element

a) Metal expansion element:

Tension loading:

$$\gamma_{Ms} = \frac{1.2}{f_{yk}/f_{uk}} \geq 1.4 \quad (3.3a)$$

Shear loading of the anchor with and without lever arm:

$$\gamma_{Ms} = \frac{1.0}{f_{yk}/f_{uk}} \geq 1.25 \quad f_{uk} \leq 800 \text{ N/mm}^2 \quad (3.3b)$$

$$\text{and } f_{yk}/f_{uk} \leq 0.8$$

$$\gamma_{Ms} = 1.5 \quad \text{or } \begin{matrix} f_{uk} > 800 \text{ N/mm}^2 \\ f_{yk}/f_{uk} > 0.8 \end{matrix}$$

b) Polymeric expansion element:

$$\gamma_{Mpol} = 2.5$$

(also valid for rupture of the polymeric sleeve)

3.2.2.2. Failure of the plastic anchor

a) For use in concrete

$$\gamma_{Mc} = 1.8$$

b) For use in masonry

$$\gamma_{Mm} = 2.5$$

c) For use in autoclaved aerated concrete

$$\gamma_{MAAC} = 2.0$$

3.3. Serviceability limit state

In the serviceability limit state it shall be shown that the displacements occurring under the characteristic actions (see 6) are not larger than the permissible displacements. The permissible displacements depend on the application in question and should be evaluated by the designer.

In this check the partial safety factors on actions and on resistances may be assumed to be equal 1.0.

4. STATIC ANALYSIS

4.1. Loads acting on anchors

Distribution of loads acting on anchors should be calculated according to theory of elasticity.

For steel failure under tension and shear and for pull-out failure under tension the load acting on the highest loaded anchor shall be determined. For concrete failure under tension and shear the load on the group shall be calculated.

In case of concrete edge failure the shear force is assumed to act on the anchor(s) closest to the edge.

4.2. Shear loads with lever arm

Shear loads acting on an anchor may be assumed to act without lever arm if both of the following conditions are fulfilled:

- The fixture shall be made of metal and in the area of the anchorage be fixed directly to the base material either without an intermediate layer or with a levelling layer of mortar with a thickness ≤ 3 mm.
- The fixture shall be in contact with the anchor over its entire thickness.

If these two conditions are not fulfilled the lever arm is calculated according to equation (4.1) (see Fig. 4.1).

$$l = a_3 + e_1 \quad (4.1)$$

e_1 = distance between shear load and surface of the member

a_3 = $0.5 \cdot d$

d = nominal diameter of the anchor

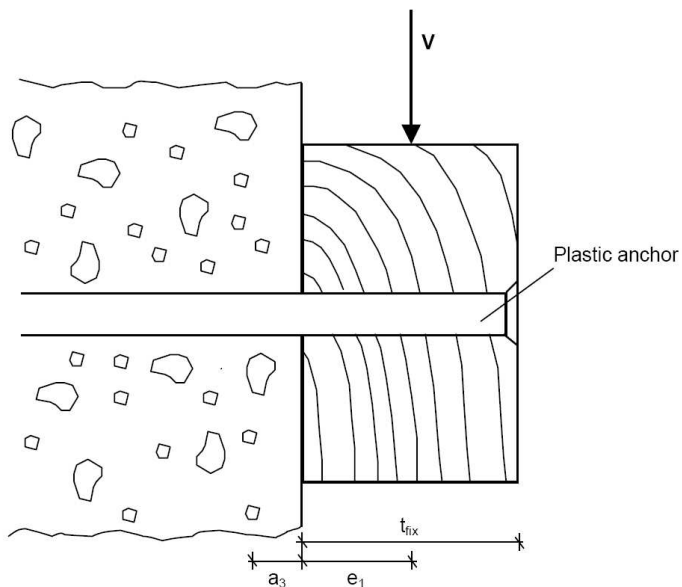


Figure 4.1 Definition of lever arm

5. ULTIMATE LIMIT STATE

5.1. General

The characteristic resistances of plastic anchors in the ultimate limit state for use in concrete are given in 5.2. The characteristic resistances and the corresponding specific conditions for the design of plastic anchors for use in masonry and aerated concrete respectively are listed in 5.3.

In general, it is assumed that anchor groups have the same resistance as single anchors under tension loads, shear loads and combined tension and shear loads independent of the spacing between the anchors.

Spacing, edge distance as well as thickness of member shall not remain under the given minimum values.

5.2. Ultimate limit state for use in concrete

5.2.1. Resistance to tension loads

5.2.1.1. Required proofs

		single anchor	anchor group	
Failure of the expansion element	metal	$N_{Sd} \leq N_{Rk,s} / \gamma_{Ms}$	$N_{Sd}^h \leq N_{Rk,s} / \gamma_{Ms}$	
	polymeric ¹⁾	$N_{Sd} \leq N_{Rk,pol} / \gamma_{Mpol}$	$N_{Sd}^h \leq N_{Rk,pol} / \gamma_{Mpol}$	
pull-out failure		$N_{Sd} \leq N_{Rk,p} / \gamma_{Mc}$	$N_{Sd}^h \leq N_{Rk,p} / \gamma_{Mc}$	
concrete cone failure		$N_{Sd} \leq N_{Rk,c} / \gamma_{Mc}$		$N_{Sd}^g \leq N_{Rk,c} / \gamma_{Mc}$

¹⁾ also valid for rupture of the polymeric sleeve

5.2.1.2. Failure of the expansion element

The characteristic resistance of an anchor in case of failure (rupture) of the expansion element, $N_{Rk,s}$ or $N_{Rk,pol}$ is given in the relevant ETA.

5.2.1.3. Pull-out failure

The characteristic resistance in case of failure by pull-out, $N_{Rk,p}$, shall be taken from the relevant ETA.

5.2.1.4. Concrete cone failure

The characteristic resistance of an anchor or a group of anchors, respectively, in case of concrete cone failure is:

$$N_{Rk,c} = 7.2 \cdot \sqrt{f_{ck,cube}} \cdot h_{ef}^{1.5} \cdot \frac{c}{c_{cr,N}} \quad \frac{c}{c_{cr,N}} \leq 1.0 \quad (5.1)$$

$f_{ck,cube}$ [N/mm²]; h_{ef} [mm]

with:

$$h_{ef}^{1.5} = \frac{N_{Rk,p}}{7.2 \cdot \sqrt{f_{ck,cube}}} \quad (5.2)$$

$N_{Rk,p}$ = given in the ETA; $N_{Rk,p}$ [N]

c = edge distance of the outer anchor of the group

$c_{cr,N}$ = edge distance to ensure the transmission of the characteristic resistance; given in the ETA

$f_{ck,cube}$ = nominal characteristic concrete compression strength (based on cubes) values for C50/60 at most

5.2.2. Resistance to shear loads

5.2.2.1. Required proofs

		single anchor	anchor group	
Failure of the expansion element, shear load without lever arm	metal	$V_{Sd} \leq V_{Rk,s} / \gamma_{Ms}$	$V_{Sd}^h \leq V_{Rk,s} / \gamma_{Ms}$	
	polymeric	$V_{Sd} \leq V_{Rk,pol} / \gamma_{Mpol}$	$V_{Sd}^h \leq V_{Rk,pol} / \gamma_{Mpol}$	
Failure of the expansion element, shear load with lever arm	metal	$V_{Sd} \leq V_{Rk,s} / \gamma_{Ms}$	$V_{Sd}^h \leq V_{Rk,s} / \gamma_{Ms}$	
	polymeric	$V_{Sd} \leq V_{Rk,pol} / \gamma_{Mpol}$	$V_{Sd}^h \leq V_{Rk,pol} / \gamma_{Mpol}$	
concrete edge failure		$V_{Sd} \leq N_{Rk,c} / \gamma_{Mc}$		$V_{Sd}^g \leq V_{Rk,c} / \gamma_{Mc}$

5.2.2.2. Failure of the expansion element, shear load without lever arm

The characteristic resistance of an anchor in case of failure of the expansion element due to shear load without lever arm $V_{Rk,s}$ or $V_{Rk,pol}$ shall be taken from the relevant ETA.

5.2.2.3. Failure of the expansion element, shear load with lever arm

The characteristic resistance of an anchor in case of failure of the expansion element due to shear load with lever arm $V_{Rk,s}$ or ($V_{Rk,pol}$) is given by Equation (5.3).

$$V_{Rk,s} = \frac{M_{Rk,s}}{l} \quad [N] \quad (5.3a)$$

$$V_{Rk,pol} = \frac{M_{Rk,pol}}{l} \quad [N] \quad (5.3b)$$

l lever arm according to Equation (4.1)
 $M_{Rk,s}$ or $M_{Rk,pol}$ to be taken from the relevant ETA

5.2.2.4. Concrete edge failure

The characteristic resistance for an anchor or an anchor group in the case of concrete cone failure at edges corresponds to:

$$V_{Rk,c} = 0.45 \cdot \sqrt{d_{nom}} \cdot (h_{nom} / d_{nom})^{0.2} \cdot \sqrt{f_{ck,cube}} \cdot c_1^{1.5} \cdot \left(\frac{c_2}{1.5c_1}\right)^{0.5} \cdot \left(\frac{h}{1.5c_1}\right)^{0.5} \quad [N] \quad (5.4)$$

$$d_{nom}, h_{nom}, h, c_1, c_2 \text{ [mm]; } f_{ck,cube} \text{ [N/mm}^2\text{]} \quad \left(\frac{c_2}{1.5c_1}\right)^{0.5} \leq 1.0 \quad \text{and} \quad \left(\frac{h}{1.5c_1}\right)^{0.5} \leq 1.0$$

c_1 edge distance closest to the edge in loading direction

c_2 edge distance perpendicular to direction 1

$f_{ck,cube}$ = nominal characteristic concrete compression strength (based on cubes) values for C50/60 at most

5.2.3. Resistance to combined tension and shear loads

For combined tension and shear loads the following Equations shall be satisfied:

$$\beta_N \leq 1 \quad (5.5a)$$

$$\beta_V \leq 1 \quad (5.5b)$$

$$\beta_N + \beta_V \leq 1.2 \quad (5.5c)$$

β_N (β_V) ratio between design action and design resistance for tension (shear) loading.

In Equation (5.5) the largest value of β_N and β_V for the different failure modes shall be taken (see 5.2.1.1 and 5.2.2.1).

5.3. Ultimate limit state for use in masonry and in autoclaved aerated concrete

The following specific conditions for the design method in masonry and in autoclaved aerated concrete shall be taken into account:

(1) The ETA contains only one characteristic resistance F_{Rk} independent of the load direction and the mode of failure. The appropriated partial safety factor and the corresponding values c_{min} and s_{min} for this characteristic resistance are also be given in the ETA.

(2) The characteristic resistance F_{Rk} for a single plastic anchor may also be taken for a group of two or four plastic anchors with a spacing equal or larger than the minimum spacing s_{min} .
The distance between single plastic anchors or a group of anchors should be $s \geq 250$ mm.

(3) If the vertical joints of the wall are designed not to be filled with mortar then the design resistance N_{Rd} has to be limited to 2.0 kN to ensure that a pull-out of one brick out of the wall will be prevented. This limitation can be omitted if interlocking units are used for the wall or when the joints are designed to be filled with mortar.

(4) If the joints of the masonry are not visible the characteristic resistance F_{Rk} has to be reduced with the factor $\alpha_j = 0.5$.

(5) If the joints of the masonry are visible (e.g. unplastered wall) following has to be taken into account:

- The characteristic resistance F_{Rk} may be used only, if the wall is designed such that the joints are to be filled with mortar.
- If the wall is designed such that the joints are not to be filled with mortar then the characteristic resistance F_{Rk} may be used only, if the minimum edge distance c_{min} to the vertical joints is observed. If this minimum edge distance c_{min} can not be observed then the characteristic resistance F_{Rk} has to be reduced with the factor $\alpha_j = 0.5$.

For prefabricated reinforced components made of autoclaved aerated concrete the following has to be taken into account as well, if no special tests or calculation for the resistance of the member made of AAC have been carried out:

- The design value of shear **resistance** in the member caused by the anchorage is less or equal to 40 % of the design value of resistance of the member in the critical cross section.
- The edge distance c is ≥ 150 mm for slabs of width ≤ 700 mm.
- The spacing s of fixing points is ≥ 600 mm. Fixing points are single anchors or groups of 2 or 4 anchors.

6. SERVICEABILITY LIMIT STATE

6.1. Displacements

The characteristic displacement of the anchor under defined tension and shear loads shall be taken from the ETA. It may be assumed that the displacements are a linear function of the applied load. In case of a combined tension and shear load, the displacements for the tension and shear component of the resultant load should be geometrically added.

In case of shear loads the influence of the hole clearance in the fixture on the expected displacement of the whole anchorage shall be taken into account.

6.2. Shear load with changing sign

If the shear loads acting on the anchor change their sign several times, appropriate measures shall be taken to avoid a fatigue failure of the anchor (e.g. the shear load should be transferred by friction between the fixture and the base material (e.g. due to a sufficiently high permanent prestressing force)).

Shear loads with changing sign can occur due to temperature variations in the fastened member (e.g. facade elements). Therefore, either these members are anchored such that no significant shear loads due to the restraint of deformations imposed to the fastened element will occur in the anchor or in shear loading with lever arm the bending stresses in the most stressed anchor $\Delta\sigma = \max\sigma - \min\sigma$ in the serviceability limit state caused by temperature variations should be limited to 100 N/mm^2 for steel.